



## Borough of Montvale

12 Mercedes Drive  
Montvale, NJ 07645  
(201) 391-5700 ext. 242  
www.montvale.org

**Planning Department**  
**Zoning Variance Application**  
R. Lorraine Hutter, Secretary

All Zoning applicants must obtain a letter of DENIAL from the Construction Department (Ext. 222 or 223) prior to completing the application and submission for consideration and scheduling by the Land Use Administrator.

### INSTRUCTIONS

1. The following must be submitted with application fee prior to placement on a specific agenda date:
  - a. One (1) original set and seventeen (17) copy sets of pages 2, 3, 4, 5, 7 or 8, and J, and Letter of Denial from Construction Department.
  - b. Seventeen (17) copies of the plans (i.e. diagram/survey/architectural rendering/building plan) showing dimensions of existing and proposed structures (including alterations) and distances to lot lines.
  - c. Corporate Applicants are to include seventeen (17) copies of a diagram of properties within 200 feet of subject property are to be submitted. (**Plans must be folded.**)
2.
  - a. A **certified list of property owners** within 200 feet of a subject site in the Borough of Montvale must be obtained from the Land Use Administrator by written request, with a non-refundable \$10.00 fee for each block and lot, check payable to "Borough of Montvale".
  - b. NJ State Statute **requires** that the **Notice of Public Hearing** (page 9) must be served upon all property owners (in person or by certified mail) within 200 feet of the applicant's property, including those in neighboring municipalities, if applicable, at least 10 days prior to the hearing.  
If **Notice of Public Hearing** is served in person, you must obtain a signature and date from each property owner.
  - c. **Notice of Public Hearing** must appear in **THE BERGEN RECORD** or **THE RIDGEWOOD NEWS** at least 10 days prior to the hearing. (see page 9)
  - d. Applicants must confirm meeting date with the Secretary prior to service upon neighboring property owners and publication of **Notice of Public Hearing**.
3. One (1) copy each of the following are to be submitted to the Land Use Administrator one week prior to the scheduled meeting: Pages 6 & 9, the original U.S. Post Office dated certified mail receipts, and original notarized Affidavit of Publication obtained from the newspaper. The Secretary will review for accuracy and place in the applicant's file.  
If these items are not submitted, the application will be withdrawn from the agenda.
4. An applicant may appear pro se or may be represented by an attorney. An attorney must represent all corporate applications and no broker, architect, planner, builder, engineer or other non-attorney may represent any applicant, although such persons may be called as witnesses on behalf of applicants.
5. All property taxes must be current in order to be scheduled to be heard.

**Borough of Montvale  
Planning Board**

**Zoning Variance Application**

Date: \_\_\_\_\_

Application is hereby made for variance from the zoning ordinance. If additional relief is sought; e.g. subdivision, site plan approval, appeal from administrative office, provide appropriate details.

\_\_\_\_\_  
\_\_\_\_\_

1. Applicant's name, address, phone number and email address:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2. Is the above listed applicant:

- an individual
- a partnership
- a corporation
- or other \_\_\_\_\_

3. If partnership or corporation, state the names and addresses of all stockholders or individual partners owning at least 10% of its stock of any class, or at least 10% of the interest in the partnership, as the case may be:

\_\_\_\_\_  
\_\_\_\_\_

4. If applicant is represented by a NJ Attorney, state the Attorney's name, firm address, phone number and email address:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. To whom should correspondence and notices be sent?

\_\_\_\_\_  
\_\_\_\_\_

6. Montvale Tax Assessment Map description of land involved:

Block(s): \_\_\_\_\_ Lot(s): \_\_\_\_\_

P.O. Street Address: \_\_\_\_\_

Nearest Cross Streets: \_\_\_\_\_

Zone District: \_\_\_\_\_

Size of Tract: front \_\_\_\_\_ rear \_\_\_\_\_ sides \_\_\_\_\_

7. If applicant is not the record owner of the land described in number 6 above, state applicant's legal interest:

Contract purchaser

Contract tenant

Attorney for record owner

Other: Describe: \_\_\_\_\_

8. Give size of proposed building or addition:

House \_\_\_\_\_

Garage \_\_\_\_\_

Room \_\_\_\_\_

Other: Describe: \_\_\_\_\_

Height of building \_\_\_\_\_ No. of Stories \_\_\_\_\_

Type of Construction  Frame  Brick  Other \_\_\_\_\_

9. Purpose of application: (Give a detailed description of the use of the property, present and proposed:

10. Check and give full description of variance(s) requested

(residents see 'Letter of Denial' from Construction Department):

Width  Depth  Area  Front Yard  Rear Yard

Side Yards  Accessory Building  Height of Structure

Use (specify below)  Other (specify below)

11. With respect to each variance requested, set forth in detail the requirement(s) of the zoning ordinance and the extent to which the proposed structure or use fails to comply therewith.

---

---

---

12. To your knowledge, has any previous application involving the subject premises been taken to the Board of Adjustment or the Planning Board?

Yes  No

If yes: (a) by whom filed \_\_\_\_\_ (b) date filed \_\_\_\_\_

(c) nature of application \_\_\_\_\_

---

---

(d) result \_\_\_\_\_

Sworn and subscribed to \_\_\_\_\_ |  
Before me this \_\_\_\_\_ day |  
of \_\_\_\_\_ 20\_\_\_\_ |

\_\_\_\_\_

\_\_\_\_\_  
Signature(s) of Applicant(s)

\_\_\_\_\_  
Notary Public of New Jersey

**CERTIFICATION OF PAYMENT OF TAXES**  
*(to be complete by Tax Collector at applicant's request)*

I, \_\_\_\_\_ Treasurer, of the Borough of Montvale, hereby certify that the property taxes on the property known as Block(s) \_\_\_\_\_, Lot(s) \_\_\_\_\_, as shown on the Borough Tax Assessment Maps, have been paid through the \_\_\_\_\_ quarter of 20\_\_ and that the taxes on the aforementioned property are not past due nor are there any penalties, assessments, or interest due or outstanding as of this date.

\_\_\_\_\_  
Signature of Deputy Tax Collector

**AFFIDAVIT OF SERVICE**

Property Address \_\_\_\_\_

STATE OF NEW JERSEY) ss:  
COUNTY OF BERGEN )

\_\_\_\_\_, being duly sworn according to law, upon his/her oath deposes and says:

1. I served notice of the time and place appointed for the hearing of the above application upon all property owners within 200 feet of the subject property by mailing same to them at their address as shown on the current tax duplicate by certified mail, postage prepaid, at the United State Post Office, \_\_\_\_\_ N.J., and/or by serving said notice upon them personally. A copy of said notice is attached hereto.
2. Said notice was served by certified mail upon the attached list of property owners on the dates indicated thereon.
3. Said notice was served personally upon the attached list of property owners on the dates indicated thereon.
4. In addition, service of said notice was made on \_\_\_\_\_(date) by certified mail/personally (strike one) upon the Borough Clerk of \_\_\_\_\_ and upon the Secretary of the Bergen County Planning Board.  
(note: Complete this paragraph only where applicable.)

Sworn and subscribed to \_\_\_\_\_ )  
Before me on this \_\_\_\_\_ day )  
Of \_\_\_\_\_, 20 \_\_\_\_ )

\_\_\_\_\_  
A Notary Public of the State of New Jersey

\* Residents seeking a Plain Variance need not complete Item #4.

**OWNER'S AFFIDAVIT/AUTHORIZATION - INDIVIDUAL**

STATE OF NEW JERSEY) ss:  
COUNTY OF BERGEN )

\_\_\_\_\_, of full age, being duly sworn according to law, upon his/her oath deposes and says:

1. I (we) am (are) the owner(s) of premises in the Borough of Montvale known as \_\_\_\_\_ and also known as Block \_\_\_\_\_ Lot \_\_\_\_\_ on the current official tax map.

2. Complete below if application is to be made by other than owner(s):

My (our)  contract purchaser  attorney  tenant, is hereby authorized and empowered to make application to the Planning Board of the Borough of Montvale on my (our) behalf, and I (we) agree to be bound by the decision of the Board, including all terms and conditions made a part hereof.

Sworn and subscribed to )  
Before me on this \_\_\_\_\_ day ) \_\_\_\_\_  
Of \_\_\_\_\_, 20\_\_\_\_)

\_\_\_\_\_  
A Notary Public of the State of New Jersey

**OWNER'S AFFIDAVIT/AUTHORIZATION - CORPORATE**

STATE OF NEW JERSEY) ss:  
COUNTY OF BERGEN )

\_\_\_\_\_, of full age, being duly sworn according to law, upon his/her oath deposes and says:

1. I am (office) \_\_\_\_\_ of \_\_\_\_\_  
\_\_\_\_\_ a corporation of the State of \_\_\_\_\_  
with its principal office at \_\_\_\_\_.
2. I am, by virtue of my office, authorized to bid said corporation to the representations and agreements contained in this affidavit.
3. Said corporation is the owner of premises in the Borough of Montvale known as \_\_\_\_\_ also known as Block \_\_\_\_\_ Lot(s) \_\_\_\_\_ on the current official tax map.
4. Said corporation has authorized \_\_\_\_\_ as  contract purchaser  attorney  tenant to make the foregoing application to the Planning Board of the Borough of Montvale and has agreed to be bound by the decision of the Board including all terms and conditions made a part thereof.
5. Said corporation has not authorized any other person to make such application on it its behalf.

Sworn and subscribed to )  
Before me on this \_\_\_\_ day ) \_\_\_\_\_  
Of \_\_\_\_\_, 20\_\_ )

\_\_\_\_\_  
A Notary Public of the State of New Jersey

## NOTICE OF PUBLIC HEARING

**PLEASE TAKE NOTICE** that an application has been made by

\_\_\_\_\_ for a variance from the requirements of the zoning ordinance to the Montvale Planning Board as to property known as Block \_\_\_\_\_, Lot(s) \_\_\_\_\_ on the current official tax assessment map of the Borough of Montvale, also known as

\_\_\_\_\_  
(street address)

The purpose of the application is to \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Set forth specific relief requested; for example "to erect an open deck at the rear of the applicant's residence, thereby reducing the 30 foot required rear yard to 18 feet.)

**TAKE FURTHER NOTICE** that a public hearing has been ordered for Tuesday

\_\_\_\_\_, 20\_\_ at 7:30pm before the Planning Board of the Borough of Montvale, at the Municipal Building, 12 Mercedes Drive, Montvale, NJ. When this case is called, you may appear either in person or by attorney and present any opinion, which you may have with regard to the granting of the application.

The complete application is on file and is available for your inspection at the office of the Land Use Administrator at the Montvale Municipal Building on any business day between 8:30am to 4:30pm

Applicant's Name \_\_\_\_\_

Street Address \_\_\_\_\_

Municipality & Zip Code \_\_\_\_\_

Date of this Notice \_\_\_\_\_

# Request for Taxpayer Identification Number and Certification

**Give form to the  
 requester. Do not  
 send to the IRS.**

<b>Print or type See Specific Instructions on page 2.</b>	Name	
	Business name, if different from above	
	Check appropriate box: <input type="checkbox"/> Individual/ Sole proprietor <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Other ▶ .....	
	<input type="checkbox"/> Exempt from backup withholding	
	Address (number, street, and apt. or suite no.)	
City, state, and ZIP code		
List account number(s) here (optional)		
Requester's name and address (optional)		

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. For individuals, this is your social security number (SSN). **However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3.** For other entities, it is your employer identification number (EIN). If you do not have a number, see **How to get a TIN** on page 3.

Social security number
+

or

Employer identification number
+

**Note:** If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

## Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), **and**
2. I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding, **and**
3. I am a U.S. person (including a U.S. resident alien).

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See the instructions on page 4.)

<b>Sign Here</b>	Signature of U.S. person ▶	Date ▶
------------------	----------------------------	--------

### Purpose of Form

A person who is required to file an information return with the IRS, must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

**U.S. person.** Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee.

**Note:** If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Foreign person.** If you are a foreign person, use the appropriate Form W-8 (see **Pub. 515**, Withholding of Tax on Nonresident Aliens and Foreign Entities).

### Nonresident alien who becomes a resident alien.

Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the recipient has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a **nonresident alien or a foreign entity** not subject to backup withholding, give the requester the appropriate completed Form W-8.

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS 30% of such payments (29% after December 31, 2003; 28% after December 31, 2005). This is called "backup withholding." Payments that may be subject to backup withholding include interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will **not** be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

**Payments you receive will be subject to backup withholding if:**

1. You do not furnish your TIN to the requester, or
2. You do not certify your TIN when required (see the Part II instructions on page 4 for details), or
3. The IRS tells the requester that you furnished an incorrect TIN, or
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate **Instructions for the Requester of Form W-9**.

## Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of Federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

### Name

If you are an individual, you must generally enter the name shown on your social security card. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

**Sole proprietor.** Enter your **individual** name as shown on your social security card on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name" line.

**Limited liability company (LLC).** If you are a single-member LLC (including a foreign LLC with a domestic owner) that is disregarded as an entity separate from its owner under Treasury regulations section 301.7701-3, **enter the owner's name on the "Name" line.** Enter the LLC's name on the "Business name" line.

**Other entities.** Enter your business name as shown on required Federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name" line.

**Note:** *You are requested to check the appropriate box for your status (individual/sole proprietor, corporation, etc.).*

### Exempt From Backup Withholding

If you are exempt, enter your name as described above and check the appropriate box for your status, then check the "Exempt from backup withholding" box in the line following the business name, sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

**Note:** *If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.*

**Exempt payees.** Backup withholding is **not required** on any payments made to the following payees:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2);
2. The United States or any of its agencies or instrumentalities;
3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities;
4. A foreign government or any of its political subdivisions, agencies, or instrumentalities; or
5. An international organization or any of its agencies or instrumentalities.

Other payees that **may be exempt** from backup withholding include:

6. A corporation;
7. A foreign central bank of issue;
8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States;

- 9. A futures commission merchant registered with the Commodity Futures Trading Commission;
- 10. A real estate investment trust;
- 11. An entity registered at all times during the tax year under the Investment Company Act of 1940;
- 12. A common trust fund operated by a bank under section 584(a);
- 13. A financial institution;
- 14. A middleman known in the investment community as a nominee or custodian; or
- 15. A trust exempt from tax under section 664 or described in section 4947.

The chart below shows types of payments that may be exempt from backup withholding. The chart applies to the exempt recipients listed above, **1** through **15**.

If the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt recipients except for <b>9</b>
Broker transactions	Exempt recipients <b>1</b> through <b>13</b> . Also, a person registered under the Investment Advisers Act of 1940 who regularly acts as a broker
Barter exchange transactions and patronage dividends	Exempt recipients <b>1</b> through <b>5</b>
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt recipients <b>1</b> through <b>7</b> <sup>2</sup>

<sup>1</sup> See **Form 1099-MISC**, Miscellaneous Income, and its instructions.

<sup>2</sup> However, the following payments made to a corporation (including gross proceeds paid to an attorney under section 6045(f), even if the attorney is a corporation) and reportable on Form 1099-MISC are **not exempt** from backup withholding: medical and health care payments, attorneys' fees; and payments for services paid by a Federal executive agency.

## Part I. Taxpayer Identification Number (TIN)

**Enter your TIN in the appropriate box.** If you are a **resident alien** and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see **How to get a TIN** below.

If you are a **sole proprietor** and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-owner **LLC** that is disregarded as an entity separate from its owner (see **Limited liability company (LLC)** on page 2), enter your SSN (or EIN, if you have one). If the LLC is a corporation, partnership, etc., enter the entity's EIN.

**Note:** See the chart on page 4 for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get **Form SS-5**, Application for a Social Security Card, from your local Social Security Administration office or get this form on-line at [www.ssa.gov/online/ss5.html](http://www.ssa.gov/online/ss5.html). You may also get this form by calling 1-800-772-1213. Use **Form W-7**, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or **Form SS-4**, Application for Employer Identification Number, to apply for an EIN. You can get Forms W-7 and SS-4 from the IRS by calling 1-800-TAX-FORM (1-800-829-3676) or from the IRS Web Site at [www.irs.gov](http://www.irs.gov).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note:** Writing "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

## Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 3, and 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). Exempt recipients, see **Exempt from backup withholding** on page 2.

**Signature requirements.** Complete the certification as indicated in 1 through 5 below.

**1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.** You must give your correct TIN, but you do not have to sign the certification.

**2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.** You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

**3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

**4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

**5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA or Archer MSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

## What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee <sup>1</sup>
b. So-called trust account that is not a legal or valid trust under state law	The actual owner <sup>1</sup>
5. Sole proprietorship or single-owner LLC	The owner <sup>3</sup>
For this type of account:	Give name and EIN of:
6. Sole proprietorship or single-owner LLC	The owner <sup>3</sup>
7. A valid trust, estate, or pension trust	Legal entity <sup>4</sup>
8. Corporate or LLC electing corporate status on Form 8832	The corporation
9. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
10. Partnership or multi-member LLC	The partnership
11. A broker or registered nominee	The broker or nominee
12. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> **You must show your individual name**, but you may also enter your business or "DBA" name. You may use either your SSN or EIN (if you have one).

<sup>4</sup> List first and circle the name of the legal trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.)

**Note:** *If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.*

## Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons who must file information returns with the IRS to report interest, dividends, and certain other income paid to you, mortgage interest you paid, the acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA or Archer MSA. The IRS uses the numbers for identification purposes and to help verify the accuracy of your tax return. The IRS may also provide this information to the Department of Justice for civil and criminal litigation, and to cities, states, and the District of Columbia to carry out their tax laws. We may also disclose this information to other countries under a tax treaty, or to Federal and state agencies to enforce Federal nontax criminal laws and to combat terrorism.

You must provide your TIN whether or not you are required to file a tax return. Payers must generally withhold 30% of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to a payer. Certain penalties may also apply.

